

## Appendices

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## Appendix A1

### *Common Fields used in CELEX*

<i>Field</i>	<i>Content</i>	<i>Sectors</i>
<b>DOCNUM</b>	Identification number of document.	1,2,3,4,5,6,7,9
<b>AUTHOR</b>	Originating institution, body, country or Person; name of MEP.	1,2,3,4,5,6
<b>FORM</b>	Legal form of the document (Treaty, Directive, Regulation, Decision, Judgment).	1,2,3,4,5,6
<b>TREATY</b>	Treaty under which the document has been adopted.	1,2,3,4,5,6
<b>PUBREF</b>	Reference to the Official Publication (Official Journal, Court Report).	1,2,3,4,5,6
<b>TITLE</b>	Official title of the document.	1,2,3,4,5,6
<b>TEXT</b>	The complete text in force.	1,2,3,4

## Appendix A2

### *Letter codes used to denote different types of documents*

In any document number there is a letter, or pair of letters, which designate the type of the document (e.g. Directive, Judgment). From time to time, new types of documents are added. The current letter codes are listed below.

#### **Sector 1 - Treaties**

- A Euratom Treaty 1957
- B Accession Treaty 1972 (United Kingdom, Ireland, Denmark)
- C Nice Treaty 2001
- D Amsterdam Treaty 1997
- E EEC Treaty 1957
- F Treaty establishing a single council and a single commission 1965
- F Treaty amending certain budgetary provisions 1970
- G Amending treaty (Greenland) 1985
- H Accession treaty 1979 (Greece)
- I Accession treaty 1985 (Portugal, Spain)
- K European Coal and Steel Treaty 1951
- M Maastricht Treaty 1992
- N Accession treaty 1994 (Austria, Sweden, Finland)
- R Treaty amending certain financial provisions of the Statute of the European Investment Bank 1975
- U Single European Act 1986

#### **Sector 2 - External Agreements**

- A Agreements with non-member countries or international organisations
- D EEA Joint Committee Decisions and EFTA Surveillance Authority Decisions
- P Acts of parliamentary bodies created by international agreements
- X Other Acts

#### **Sector 3 - Secondary Legislation**

- A Opinions
- B The budget
- C Censure
- D Decision
- E Common Foreign and Security Policy
- F Framework Decisions
- G Resolution
- H Recommendations
- J Joint Venture Directive
- K ECSC Recommendation
- L Directive
- M Merger Decisions
- O European Central Bank Guidelines
- Q Institutional Arrangements
- R Regulation
- S ECSC General Decisions
- X Other Acts, etc. (resolutions, opinions)
- Y Other Acts published in the Official Journal C

#### **Sector 4 - Internal Agreements**

- A Agreements between member states
- D Decision of the representatives of the member states meeting in the Council of Ministers
- X Other Acts published in the Official Journal L
- Y Other Acts published in the Official Journal C

#### **Sector 5 - Preparatory Work**

- AA Opinions of the Court of Auditors
- AB Opinion of the European Central Bank
- AC Opinion of the Economic and Social Committee (if consulted)
- AE Opinions of the Economic and Social Committee
- AG Miscellaneous preparatory acts of the Council under the ECSC Treaty
- AK Opinion of the ECSC Consultative Committee
- AP Opinion of the European Parliament (if consulted)
- AR Opinion of the Committee of the Regions (if consulted)
- BP European Parliament preparatory acts for the budget
- DC Miscellaneous preparatory acts of the Commission (programmes, reports communications)
- IE Opinions of the Economic and Social Committee
- IG Initiatives
- IP Own initiative opinions of the European Parliament
- IR Opinion of the Committee of the Regions (own initiative)
- KG Council assents
- PC Proposal for new legislation by the Commission
- SA Court of Auditors reports
- SC Commission reports
- XC Commission – other acts
- XG Other communications
- XK Other Resolutions
- XX Miscellaneous documents

#### **Sector 6 - The Case Law**

- A Judgment of the Court of First Instance
- B Order of the Court of First Instance
- C Opinion of the Advocate General
- F Opinions of the Court of First Instance
- J Judgment of the Court
- O Order of the Court
- S Seizure
- T Third party proceedings
- V Opinions
- X Ruling

#### **Sector 7 - National Implementing Measures**

- L National measures which implement E.U. directives

#### **Sector 9 - Parliamentary Questions**

- E Written questions
- H Questions asked during question time
- O Oral questions

**Sector C – OJ 'C' Documents**

N All documents

**Sector E – EFTA Documents**

A International Agreements  
C Acts of the EFTA Surveillance Authority  
G Acts of the EFTA Standing Committee  
J Judgments from the EFTA Court  
P Opinion/Action of the EFTA Court  
X EFTA – other acts

## Appendix A3

*List of Field names and the Sectors that use them; arranged in groups known as Lexicons.*

### The BIBLIO Lexicon

These are the standard bibliographic fields, which are common to most types of document.

<i>Field</i>	<i>Content</i>	<i>Sector</i>
<b>DOCNUM</b>	Unique identification number of the document.	1, 2, 3, 4, 5, 6, 7, 9, C, E, i.e. all sectors
<b>AUTHOR</b>	The institution, body, country or person originating the document.	All sectors
<b>FORM</b>	The legal form of the document (regulation, directive, Treaty, judgment etc.)	All sectors
<b>TREATY</b>	The treaty under which the document was adopted.	All sectors except 9 and C
<b>TYPDOC</b>	The type of document and year of reference.	All sectors
<b>PUBREF</b>	The publication reference e.g. Official Journal, Court Report.	All sectors

### The CROSSREF Lexicon

This group of fields links a document to other documents that affect it or are affected by it, for example, amendments or repeals. These are possibly the most important fields in the CELEX database, particularly in **Sector 3**, since the only way of knowing which parts of a piece of legislation are still in force is by checking these fields. Approximately one third of new legislation amends earlier legislation. The content of all of these fields is a document number (**DOCNUM**). Using the appropriate **CROSSREF** fields will enable the retrieval of the complete legislative history of any document.

<i>Field</i>	<i>Content</i>	<i>Sector</i>
<b>LEGBASE</b>	The legal basis of the document	1, 2, 3, 4, 5, E
<b>LEGCIT</b>	The other acts cited in the document	1, 2, 3, 4, 5, 9, E
<b>MODIFIES</b>	This field contains the document numbers of the acts amended or affected by the current document.	1, 2, 3, 4, 5, 9
<b>MODIFIED</b>	This field contains the document numbers of the acts which have amended the document.	1, 2, 3, 4, 5, 9
<b>SUBSPREP</b>	This field contains the document numbers of the documents which comment on the current document.	1, 2, 3, 4, 5

<b>EARLACTS</b>	This field contains the document numbers of the acts referred to in the current proposal for new legislation.	5
<b>COURTDEC</b>	This field contains the document number of the Court judgment which affects the current document.	3, 4
<b>JURCIT</b>	The document numbers of those acts or earlier judgments which are cited in the case report.	6
<b>CONCERNS</b>	The precise legal point that a particular case is concerned with.	6

### The OJ Lexicon

Contains the text of documents derived directly from the printed Official Journal.

<i>Field</i>	<i>Content</i>	<i>Sector</i>
<b>TITLE</b>	The official title of the document.	1, 2, 3, 4, 5, 7, 9, C, E
<b>TEXT</b>	The full text of the document.	1, 2, 3, 4, 5, E
<b>CORRIG</b>	The full text of any corrigenda to the document.	1, 2, 3, 4
<b>DESCRIPT</b>	Keywords.	1, 2, 3, 4, 5, C, E
<b>ABSTRACT</b>	Abstract.	-

### The DATE Lexicon

There are a number of date fields, whose presence depends on the type of document in question.

<i>Field</i>	<i>Content</i>	<i>Sector</i>
<b>PUB</b>	Date of Publication in the Official Journal.	1, 2, 3, 4, 5, 9, C, E
<b>DOC</b>	Date of adoption of the act or the decision of the Court.	1, 2, 3, 4, 5, 6, 9, E
<b>NOTIFIED</b>	Date of notification to the member states.	3, 4
<b>INFORCE</b>	Date on which the act became operative.	1, 2, 3, 4, 5, E
<b>ENDVAL</b>	Date of the end of validity of the document.	1, 2, 3, 4, 5, E
<b>TRANSPOS</b>	Dates by which member states must have complied with the document.	3
<b>LODGED</b>	Date when the case was first lodged with the Court.	6
<b>DESPATCH</b>	Date of despatch or debate of a Parliamentary question or of the transmission of a proposal or a resolution.	5, 9

<b>REPLY</b>	Date of the reply to a Parliamentary Question.	9
<b>DEBATE</b>	Date of the debate on a resolution or opinion.	5
<b>VOTE</b>	Date of the vote on a resolution or opinion.	5
<b>RATIFIED</b>	Date of ratification of an agreement, followed by the names of the countries which ratified.	-
<b>SIGNED</b>	Date of signing of an agreement, followed by the place of signing.	2

### The REPORTS Lexicon (case law)

These fields appear exclusively in **Sector 6**. They contain the full text of a case, including the summary, key words and phrases, and the grounds for the decision, according to the nomenclature of the European Court of Justice.

<i>Field</i>	<i>Content</i>
<b>TITLE</b>	The official title of the case.
<b>INDEX</b>	Key words and phrases describing the nature of the case.
<b>SUMMARY</b>	A summary of the case, similar to a Headnote.
<b>ISSUE 1</b>	The names of the parties to the case.
<b>ISSUE 2</b>	The subject of the case.
<b>COURT</b>	The members of the Court.
<b>GROUND</b>	The reasons for the decision.
<b>RECITALS</b>	Cited provisions.
<b>COSTS</b>	The Court's decision on costs.
<b>OPPART</b>	The operative part of the judgment.
<b>NATCOURT</b>	References to decisions in the national courts prior to referring the case to the Court for a preliminary ruling, i.e., history of the case in the national courts.
<b>NOTES</b>	Bibliographic references to articles in the legal journals discussing the case.
<b>OPINION</b>	Text of the opinion of the Advocate General.
<b>ADVGEN</b>	The name of the Advocate General.
<b>JUDGRAP</b>	The name of the Judge Rapporteur for the case.
<b>PROCEDUR</b>	Nature and where possible the outcome of the proceedings.
<b>NATIONA</b>	Country of origin of the applicant or the country of the court referring the case.
<b>APPLICAN</b>	The institution, body or country which is the party to the case, the applicant whether official or individual.

<b>DEFENDAN</b>	The institution, body or country which is defending in the case.
<b>OBSERV</b>	The institution, body or country which has made observations in the case.

### The NATIONAL Lexicon

These fields refer to the implementation of EU legislation in the national legislation of the Member States. They appear exclusively in **Sectors 3, 4 and 7**.

<i>Field</i>	<i>Content</i>
<b>BELPROV</b>	Belgium
<b>DEUPROV</b>	Germany
<b>DNKPROV</b>	Denmark
<b>ESPROV</b>	Spain
<b>FRAPROV</b>	France
<b>GBRPROV</b>	Great Britain
<b>GRCPROV</b>	Greece
<b>IRLPROV</b>	Ireland
<b>ITAPROV</b>	Italy
<b>LUXPROV</b>	Luxembourg
<b>NLDPROV</b>	Netherlands
<b>PRTPROV</b>	Portugal
<b>AUTPROV</b>	Austria
<b>SVEPROV</b>	Sweden
<b>FINPROV</b>	Finland

### Other CELEX Fields

<b>SUB</b>	This contains the list of possible subject matters in any piece of legislation. The terms used correspond broadly to the chapters of the Treaties establishing the Communities. For example, the terms "commercial policy" and "dumping" are among the 200 or so words and phrases which are used.
<b>REGISTER</b>	A numerical classification code in the Directory of Community legislation in force. This is a non-searchable field.
<b>ADDRESS</b>	The states, individuals or institutions to which the act is addressed.
<b>AUTLANG</b>	The authentic language version of an act or the language of a case.



## Appendix A4

### *CELEX Subject Matters – Terms used in the SUB field*

<b>1.</b>	<b>Accession</b>	<b>3.</b>	<b>Balance of payments</b>
<b>2.</b>	<b>Agriculture</b>	<b>4.</b>	<b>European Investment Bank</b>
2.1.	Market Organisation Beef and Veal Cereals Dry Fodder Eggs and Poultry Fisheries Flax and Hemp Fruit and Vegetables Hops Milk Products Oils and Fats Pigmeat Plants and Flowers Products of Annex II EEC Treaty And Regulation No. 827/68 Rice Seeds and Seedlings Sheepmeat and Goatmeat Sugar Tobacco Wine	<b>5.</b>	<b>Budget</b>
2.2.	Other Agricultural Products Alcohol Coffee Cotton Foodstuffs Forestry Non Annex II EEC Treaty Products Potatoes Silkworms Textiles	<b>6.</b>	<b>Specific ECSC Subjects</b>
2.3.	Monetary Measures in Agriculture Accession Compensatory Amounts	6.1.	Products and Markets Coal Coke Fuels Hard Coal Iron Pig Iron Scrap Special Steels Steel Steel Industry
2.4.	European Agricultural Guarantee and Guidance Fund (EAGGF)	6.2.	Financial Provisions – ECSC Levies – ECSC Loans Contracted by the ECSC Scrap Equalisation
2.5.	Agricultural Structures	6.3.	Prices – ECSC
2.6.	Animal Feeding Stuffs	<b>7.</b>	<b>Competition</b>
2.7.	Health Measures Plant Health Legislation Veterinary Legislation	7.1.	Rules applying to Undertakings 7.1.1. Exclusive Agreements 7.1.2. Dominant Position 7.1.3. Concerted Practices
		7.2.	Dumping
		7.3.	State Aids
		<b>8.</b>	<b>Brussels Convention of 27 September 1968</b>
		8.1.	Jurisdiction
		8.2.	Enforcement of Judgments
		<b>9.</b>	<b>Financial Provisions</b>
		<b>10.</b>	<b>French Overseas Departments</b> General Provisions Information and Verification Liability
		<b>11.</b>	<b>Provisions Governing the Institutions</b>
		<b>12.</b>	<b>Provisions under Article 235</b>

	<b>EEC Treaty</b>
12.1.	Regional Policy – ERDF
12.2.	Environment
12.3.	Consumer Protection
<b>13.</b>	<b>Energy</b>
<b>14.</b>	<b>Specific Euratom Subjects</b> Dissemination of Information - Security System Health and Safety Investments Joint Undertakings Nuclear Common Market Research and Training Safety at work and Elsewhere Supply Agency
<b>15.</b>	<b>Taxation</b>
<b>16.</b>	<b>Free movement of goods</b>
16.1.	Customs Union 16.1.1. Common Customs Tariff 16.1.2. Charges having an Equivalent Effect 16.1.3. Value for Customs Purposes
16.2.	Quantitative Restrictions – Measures Having Equivalent Effect
16.3.	State Monopolies of a Commercial Character
<b>17.</b>	<b>Free Movement of Persons, Services and Capital</b>
17.1.	Free Movement of Workers – Social Security For Migrant Workers

17.2.	Freedom of Establishment and Services
17.3.	Free Movement of Capital
<b>18.</b>	<b>Commercial Policy</b>
<b>19.</b>	<b>Conjunctural Policy</b>
<b>20.</b>	<b>Social Policy</b>
20.1.	Social Provisions
20.2.	European Social Fund
<b>21.</b>	<b>Principles Objectives and Tasks of the Treaties</b>
<b>22.</b>	<b>Approximation of Laws – Technical Barriers</b>
<b>23.</b>	<b>External Relations</b>
23.1.	Overseas Countries and Territories 23.1.1. Associated African States And Madagascar 23.1.2. African Caribbean and Pacific States 23.1.3. European Development Fund
23.2.	Association
23.3.	Gatt
23.4.	Co-operation
23.5.	Food Aid
<b>24.</b>	<b>Transport</b>

## **About the Authors**

### *Norman Nunn-Price*

Norman Nunn-Price is a Justice of the Peace, a mathematics and physics graduate from Cardiff University and a chartered engineer.

An acknowledged expert in the field of legal database systems, his experience dates back to the late 1960s when he was one of the originators of Status, the pioneering text retrieval system originally developed for searching United Kingdom statutes. Norman Nunn-Price helped found Context Limited, the legal database publisher, in 1986 and was responsible for the design and development of Context's online database service.

At his initiative, Context became the first United Kingdom host for CELEX, the European Community's official legal database. He established the UK's CELEX User Group in 1988.

As Context's Database Director he played a key role in the development and integrity of Context's products and was responsible for user support and training services. Before founding Context, Norman Nunn-Price was Project Leader for the European Commission in charge of a multi-national team of experts carrying out a project on Legal Information Retrieval in the European Community.

As Database Director of the Eurolex Organisation he designed and built a very large legal database covering the laws of the British Isles, as well as European law, amounting to about 2,000 megabytes of legal text.

Norman Nunn-Price has represented the United Kingdom on the Council of Europe's standing committee on legal technology and has been a Council member of the Society for Computers and the Law.

Norman Nunn-Price retired from Context in 2002.

### *Lorna Ailes*

Lorna Ailes first developed her interest in European Law whilst working in the European department at Butterworth publishers. She later joined the Context Help Desk team supporting both the search software and the databases, one of which was CELEX. With a young family, she now works part time at home.